



# Principles to Support Usability

according to Alan Dix et al.

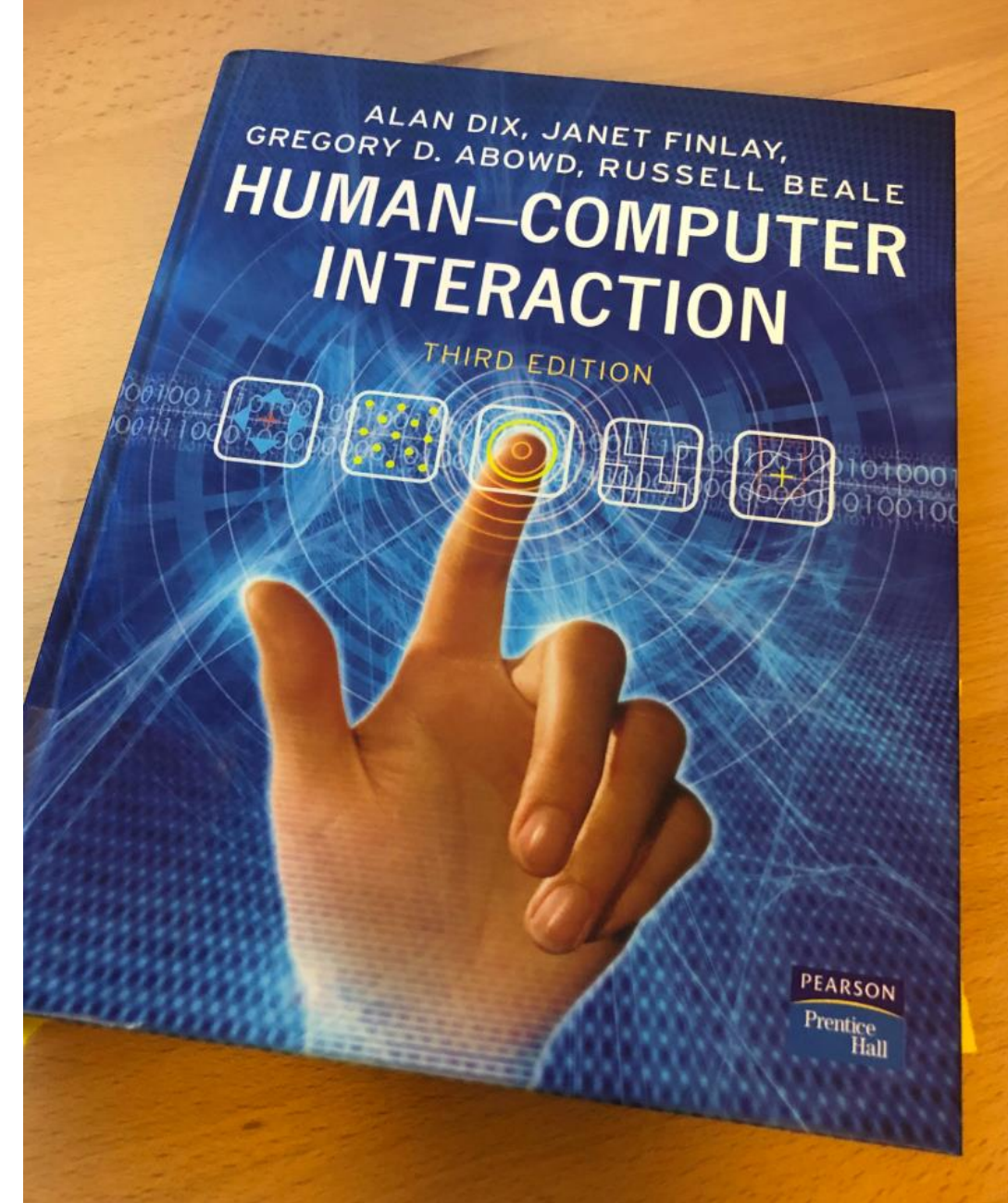
# Learning Goals

- Understand ...
  - the principles that support usability according to Dix et al.
  - what points contribute to each of the principles
- Be able to ...
  - explain these principles and give examples
  - discuss user interface designs with regard to these principles

# Principles to Support Usability

By Dix et al.

- Principle 1: Learnability
- Principle 2: Flexibility
- Principle 3: Robustness



Dix, A. J., Finlay, J., Abowd, G. D., & Beale, R. (2003). *Human-computer interaction*. Pearson Education, p260ff, <https://hcibook.com/>.

# Principle 1: Learnability

## Principles to Support Usability Dix et al.

The ease with which new users can begin effective interaction and achieve maximal performance.

- Predictability
- Synthesizability
- Familiarity
- Generalizability
- Consistency

Dix, A. J., Finlay, J., Abowd, G. D., & Beale, R. (2003). *Human-computer interaction*. Pearson Education <https://hcibook.com/>.



# Principle 1: Learnability

## Principles to Support Usability Dix et al.

The ease with which new users can begin effective interaction and achieve maximal performance.

- **Predictability**

- Determining effect of future actions based on past interaction history
- Visibility of operations and effects



- Synthesizability

- **Familiarity**

- how prior knowledge applies to a new system
- affordance ('guessability')



- Generalizability

- Consistency

```
C:\WINDOWS\system32\cmd.exe
C:\>move test.txt test
C:\>dir *.txt
Volume in drive C has no label.
Volume Serial Number is FCB2-566A

Directory of C:\
25.05.2007  12:36                0 installDebug.txt
               1 File(s)                0 bytes
               0 Dir(s)  14,052,261,888 bytes free

C:\>cd test
C:\test>dir *.txt
Volume in drive C has no label.
Volume Serial Number is FCB2-566A

Directory of C:\test
19.11.2007  16:56                0 test.txt
               1 File(s)                0 bytes
               0 Dir(s)  14,052,261,888 bytes free

C:\test>
```

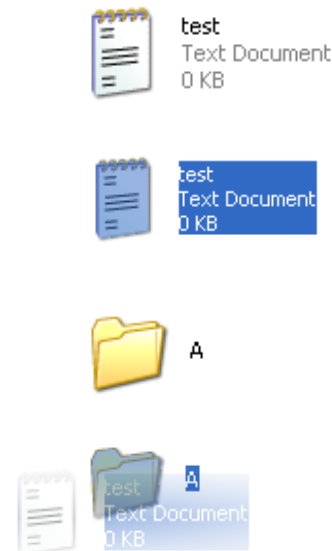
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# Principle 1: Learnability

## Principles to Support Usability Dix et al.

The ease with which new users can begin effective interaction and achieve maximal performance.

- Predictability
- **Synthesizability**
  - ability of the user to assess the effect of past operations based on the current state
  - the user should see the changes of an operation
  - immediate vs. eventual feedback
- Familiarity
- Generalizability
- Consistency



Dix, A. J., Finlay, J., Abowd, G. D., & Beale, R. (2003). *Human-computer interaction*. Pearson Education <https://hcibook.com/>.

# Principle 1: Learnability

Principles to Support Usability Dix et al.

25 seconds

Show from Movie: Star Trek IV: The Voyage Home

<https://www.youtube.com/watch?v=hShY6xZWVGE>

Star Trek IV: The Voyage Home

Dix, A. J., Finlay, J., Abowd, G. D., & Beale, R. (2003). *Human-computer interaction*. Pearson Education  
<https://hcibook.com/>.

# Principle 1: Learnability

## Principles to Support Usability Dix et al.

The ease with which new users can begin effective interaction and achieve maximal performance.

- Predictability
- Synthesizability
- Familiarity
- **Generalizability**
  - extending specific interaction knowledge to new situations
- **Consistency**
  - likeness in input/output behavior arising from similar situations or task objectives

25 seconds

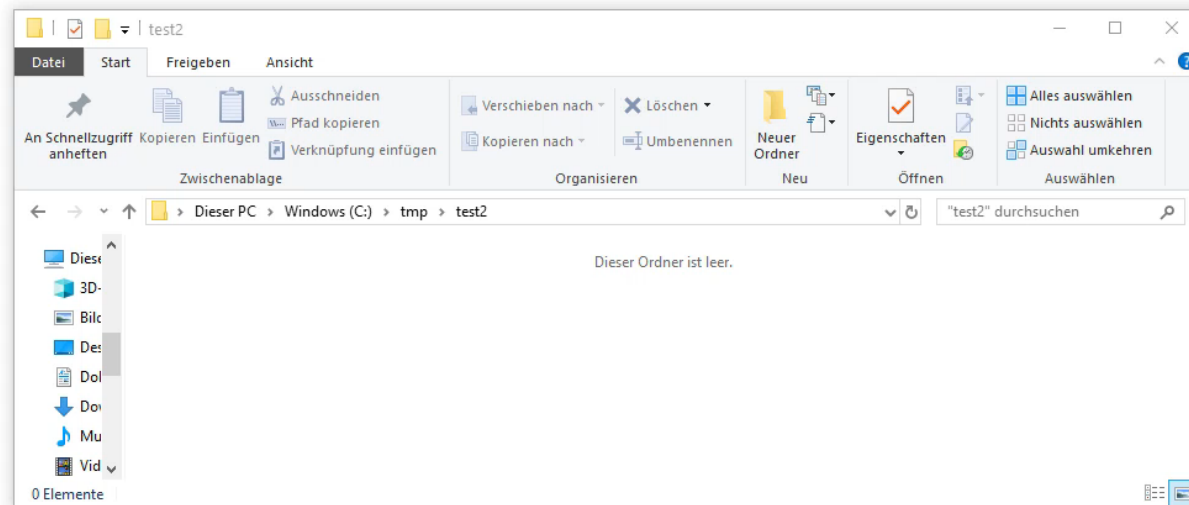
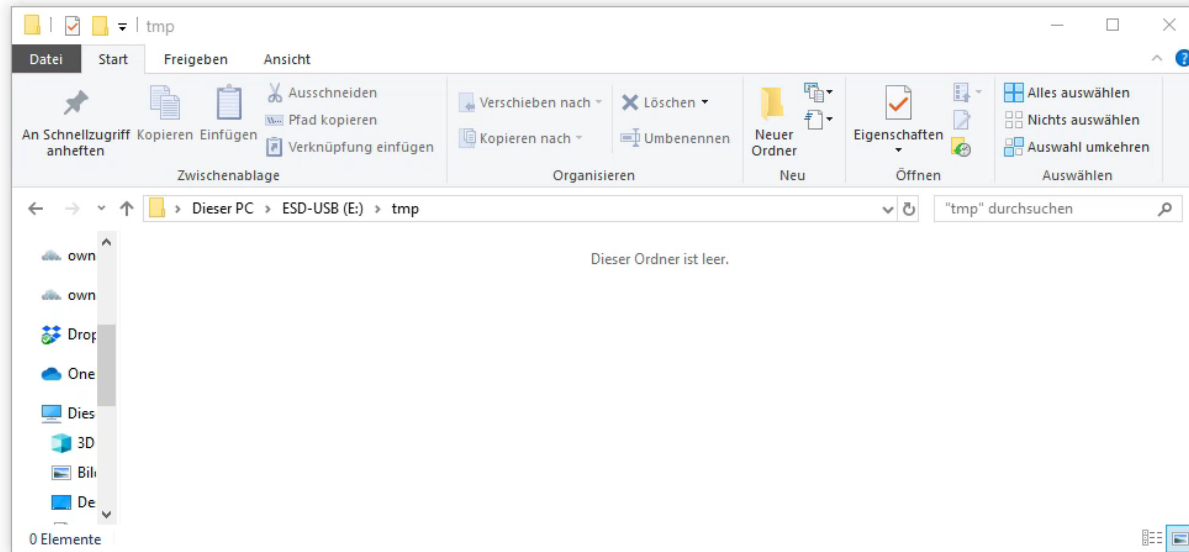
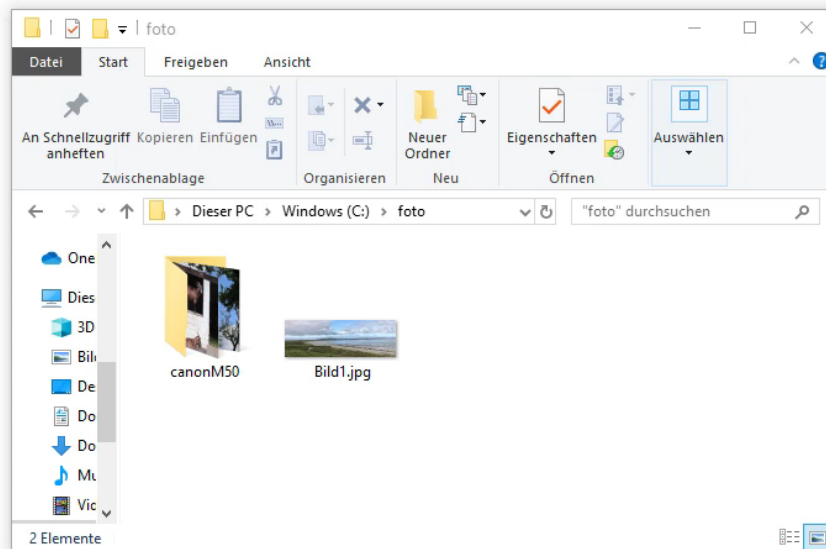
Show from Movie: Star Trek IV: The Voyage Home  
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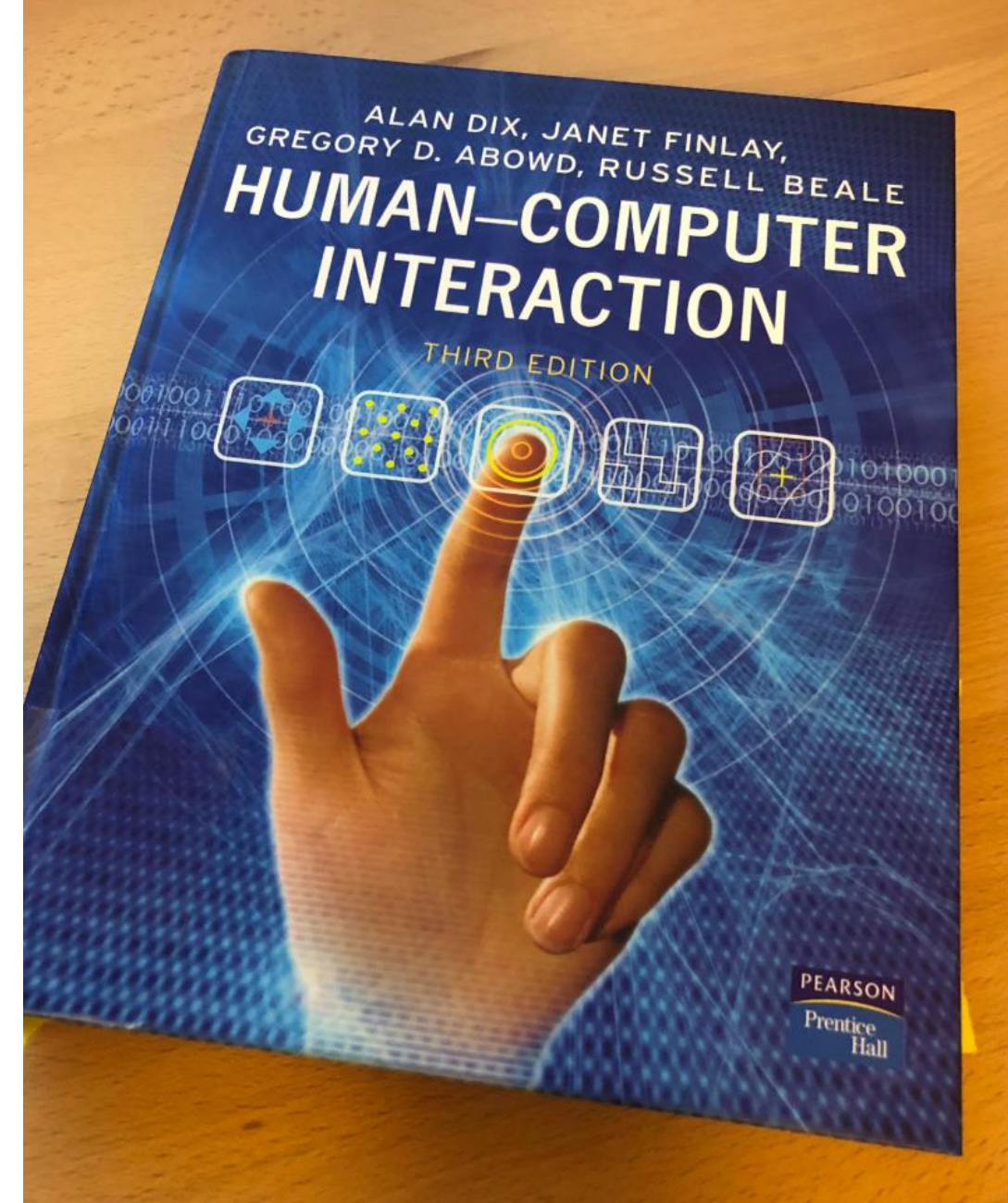
# Example: Predictability, Consistency



# Principles to Support Usability

By Dix et al.

- Principle 1: Learnability
- **Principle 2: Flexibility**
- Principle 3: Robustness



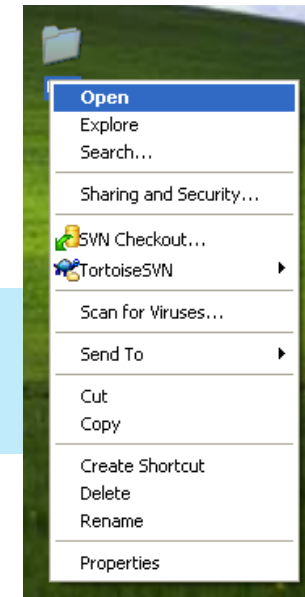
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# Principle 2: Flexibility

## Principles to Support Usability Dix et al.

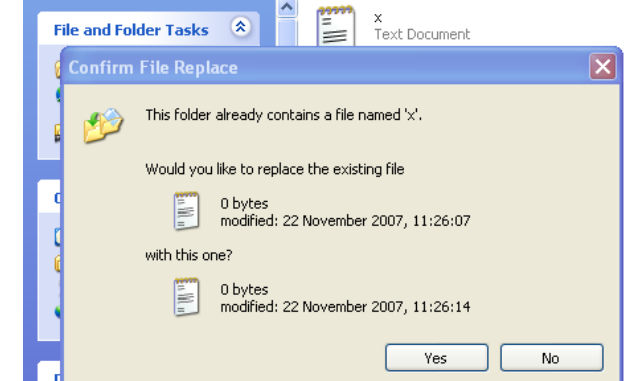
The multiplicity of ways the user and system exchange information.

- **Dialogue initiative**
  - freedom from system imposed constraints on input dialogue
  - user preemptiveness: user initiates dialog
  - system preemptiveness: system initiates dialog
- Multithreading
- Task migratability
- Substitutivity
- Customizability



*user  
preemptiveness*

*system preemptiveness*



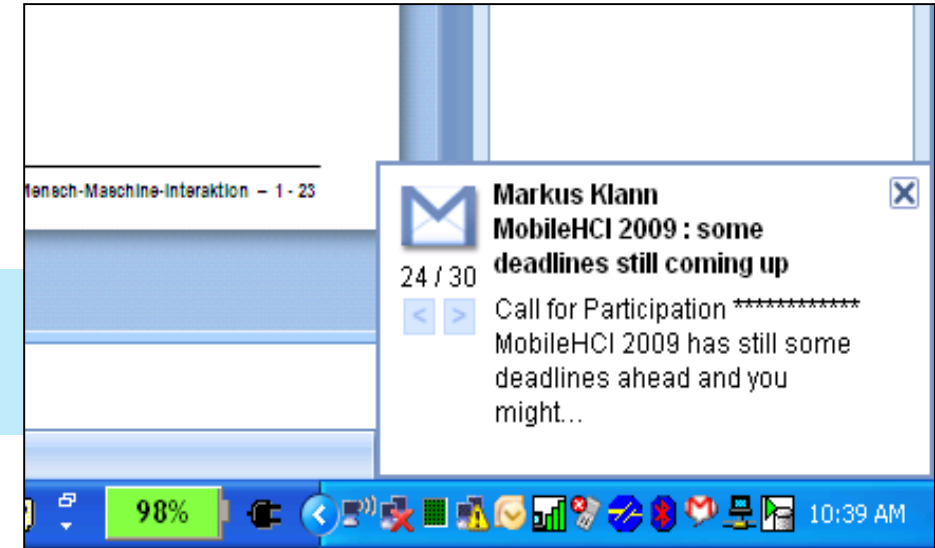
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# Principle 2: Flexibility

## Principles to Support Usability Dix et al.

The multiplicity of ways the user and system exchange information.

- Dialogue initiative
- **Multithreading**
  - system supports user interaction for several tasks at a time
    - concurrent multimodality: simultaneous communication of information pertaining to separate tasks
    - interleaving multimodality: permits temporal overlap between separate tasks, dialog is restricted to a single task
- Task migratability
- Substitutivity
- Customizability



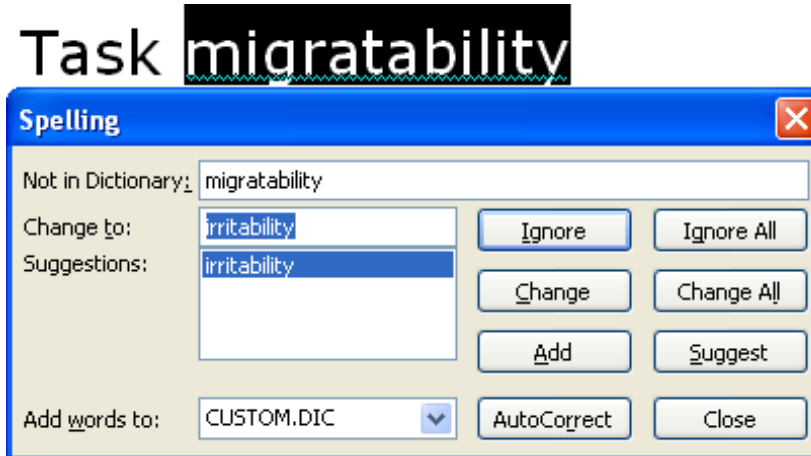
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# Principle 2: Flexibility

## Principles to Support Usability Dix et al.

The multiplicity of ways the user and system exchange information.

- Dialogue initiative
- Multithreading
- **Task migratability**
  - passing responsibility for task execution between user and system, e.g. spell checking
- Substitutivity
- Customizability



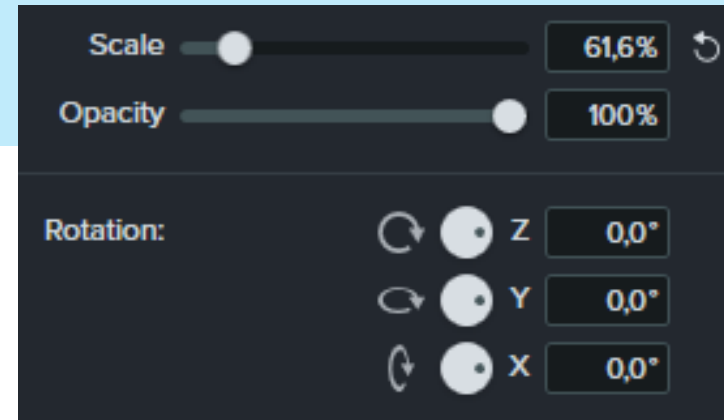
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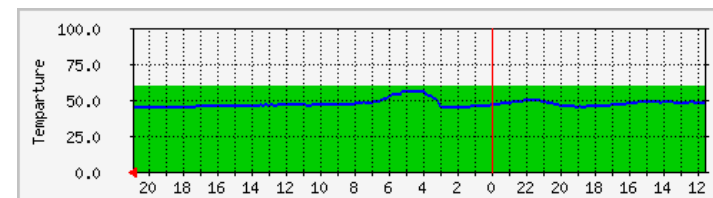
## Principles to Support Usability Dix et al.

The multiplicity of ways the user and system exchange information.

- Dialogue initiative
- Multithreading
- Task migratability
- **Substitutivity**
  - allowing equivalent values of input and output to be substituted for each other
  - representation multiplicity
  - equal opportunity: blurs the distinction between input and output
- Customizability



	A	B	C	D
1				
2	Summand 1	1	2	1
3	Summand 2	2	2	2
4	Summand 3	3	3	3
5	Total sum	6	7	6



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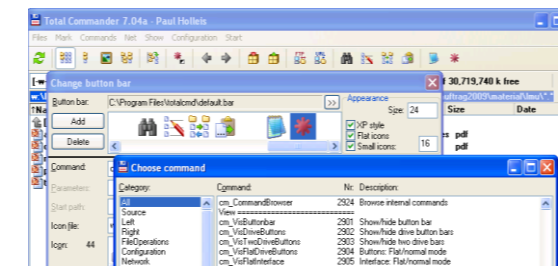
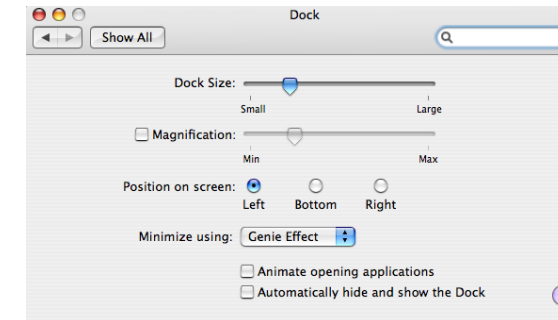
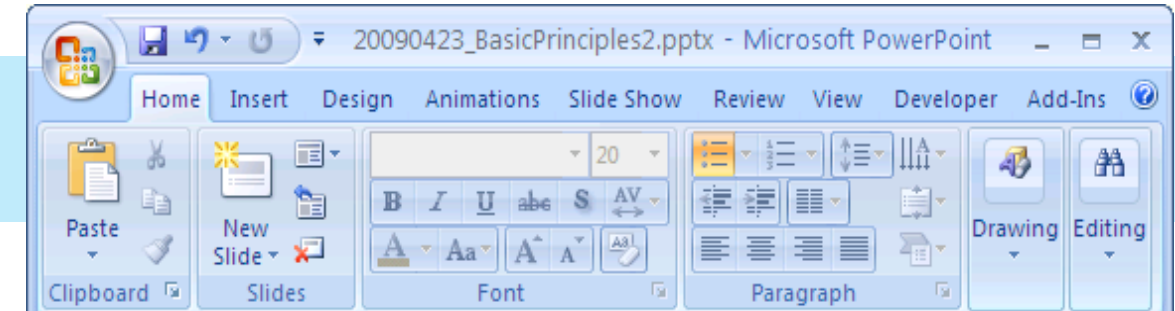
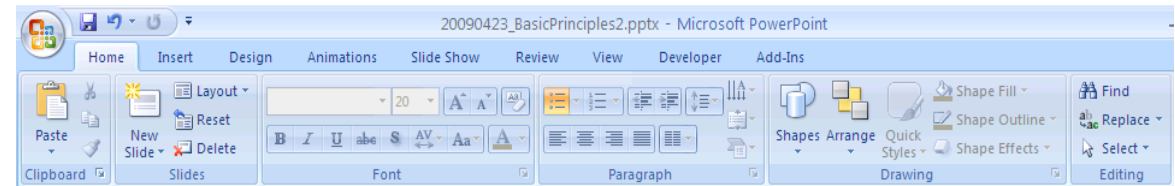


# Principle 2: Flexibility

## Principles to Support Usability Dix et al.

The multiplicity of ways the user and system exchange information.

- Dialogue initiative
- Multithreading
- Task migratability
- Substitutivity
- **Customizability**
  - modifiability of the user interface by the user (adaptability) or system (adaptivity)
  - adaptability (*anpassbar*): users ability to adjust the form of input and output
  - adaptivity (*adaptive*): automatic customization of the user interface by the system



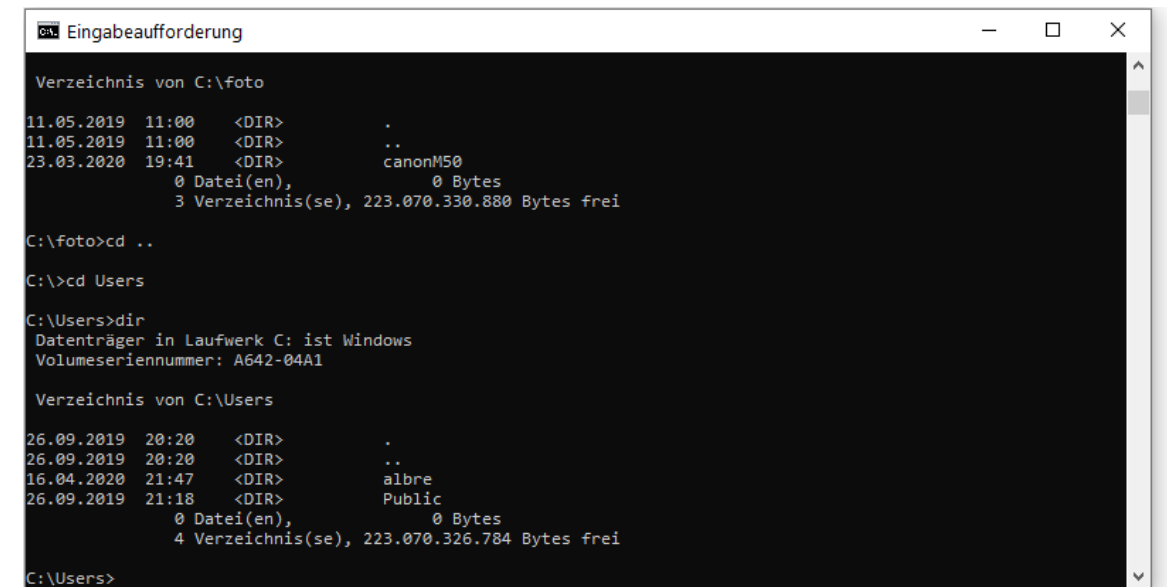
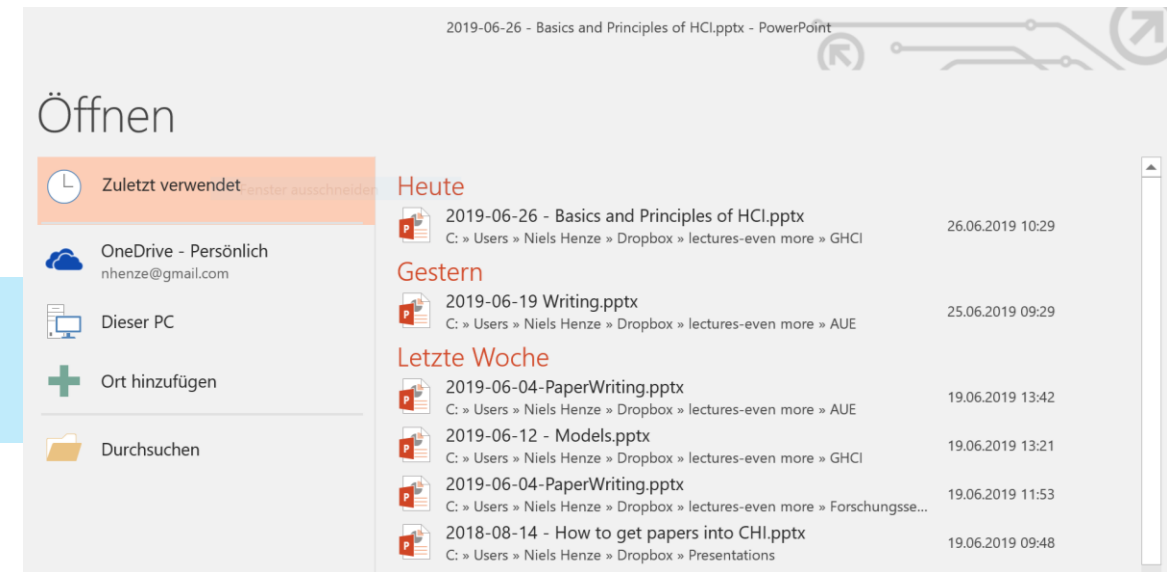
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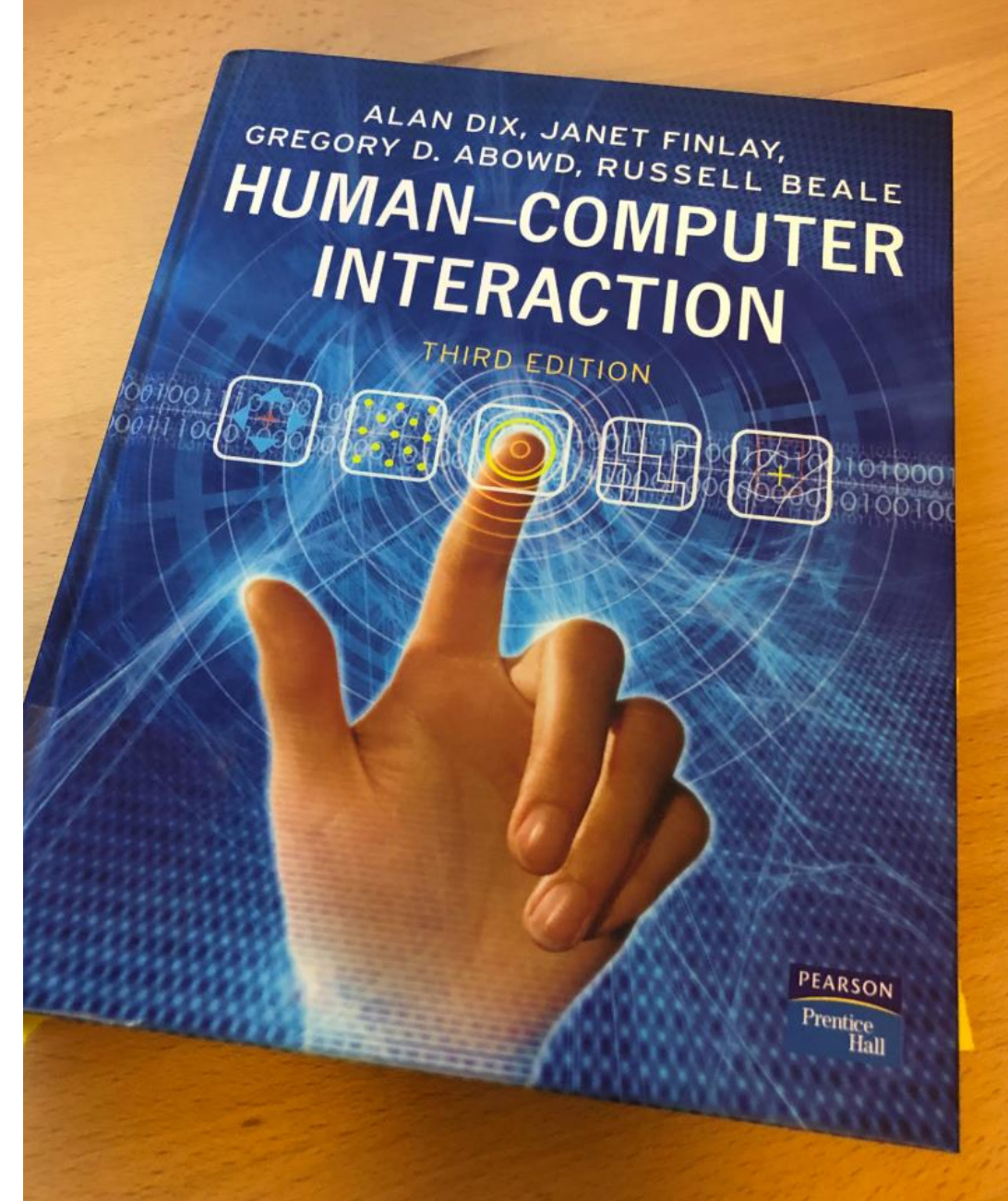
Slide adapted from Dr. Paul Holleis

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# Principle 3: Robustness

Principles to Support Usability Dix et al.

The level of support provided to the user in determining successful achievement and assessment of goal-directed behaviour.

- Observability
- Recoverability
- Task conformance
- Responsiveness

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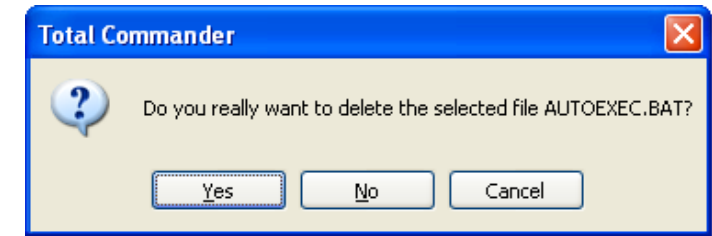
- **Observability**
  - ability of the user to evaluate the internal state of the system from its perceivable representation
- Recoverability
- Task conformance
- Responsiveness



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# Principle 3: Robustness

## Principles to Support Usability Dix et al.



The level of support provided to the user in determining successful achievement and assessment of goal-directed behaviour.

- Observability
- **Recoverability**
  - ability of the user to correct a recognized error
  - reachability (states): forward (redo) / backward (undo) recovery
  - commensurate effort (more effort / steps for deleting a file than for moving it)
- Task conformance
- Responsiveness



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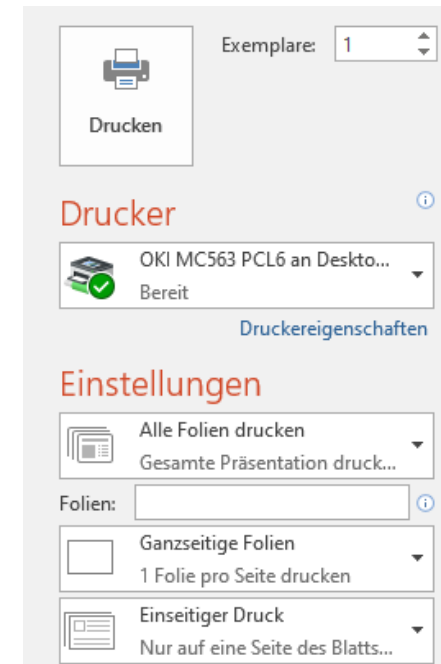


# Principle 3: Robustness

## Principles to Support Usability Dix et al.

The level of support provided to the user in determining successful achievement and assessment of goal-directed behaviour.

- Observability
- Recoverability
- **Task conformance**
  - degree to which system services support all of the user's tasks
  - task completeness
  - task adequacy
- Responsiveness



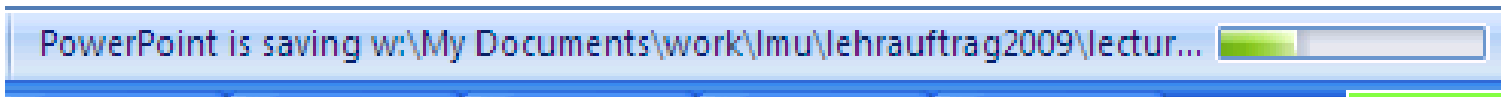
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# Principle 3: Robustness

## Principles to Support Usability Dix et al.

The level of support provided to the user in determining successful achievement and assessment of goal-directed behaviour.

- Observability
- Recoverability
- Task conformance
- Responsiveness
  - how the user perceives the rate of communication with the system
  - preferred: short durations and instantaneous responses
  - stability and indication of response time



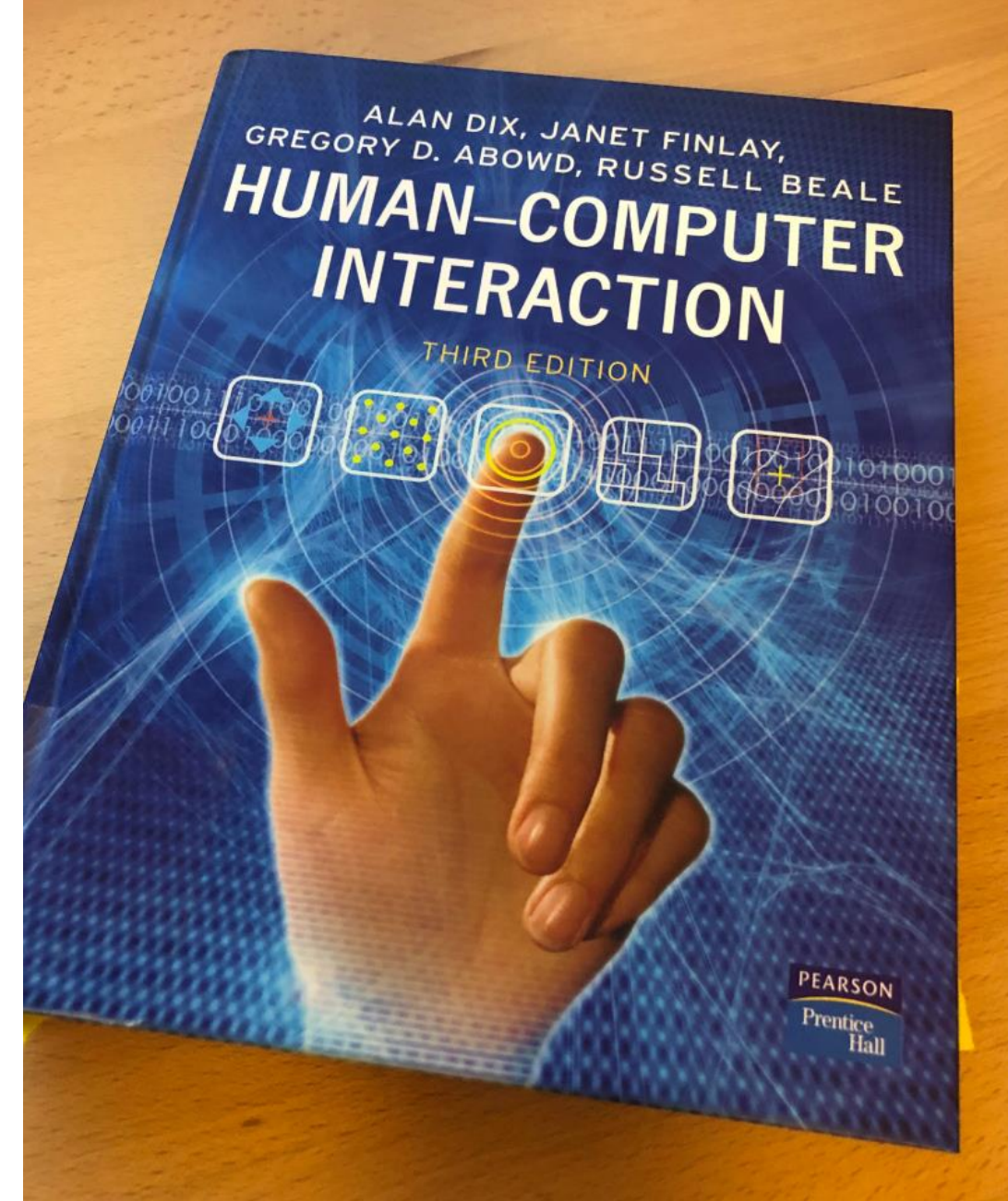
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# Principles to Support Usability

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  - Predictability
  - Synthesizability
  - Familiarity
  - Generalizability
  - Consistency
- Flexibility
  - Dialogue initiative
  - Multithreading
  - Task migratability
  - Substitutivity
  - Customizability
- Robustness
  - Observability
  - Recoverability
  - Responsiveness
  - Task conformance

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# Conversation with Alan Dix

<https://youtu.be/n8S6ZgZzgbo>



Alan Dix



Albrecht Schmidt



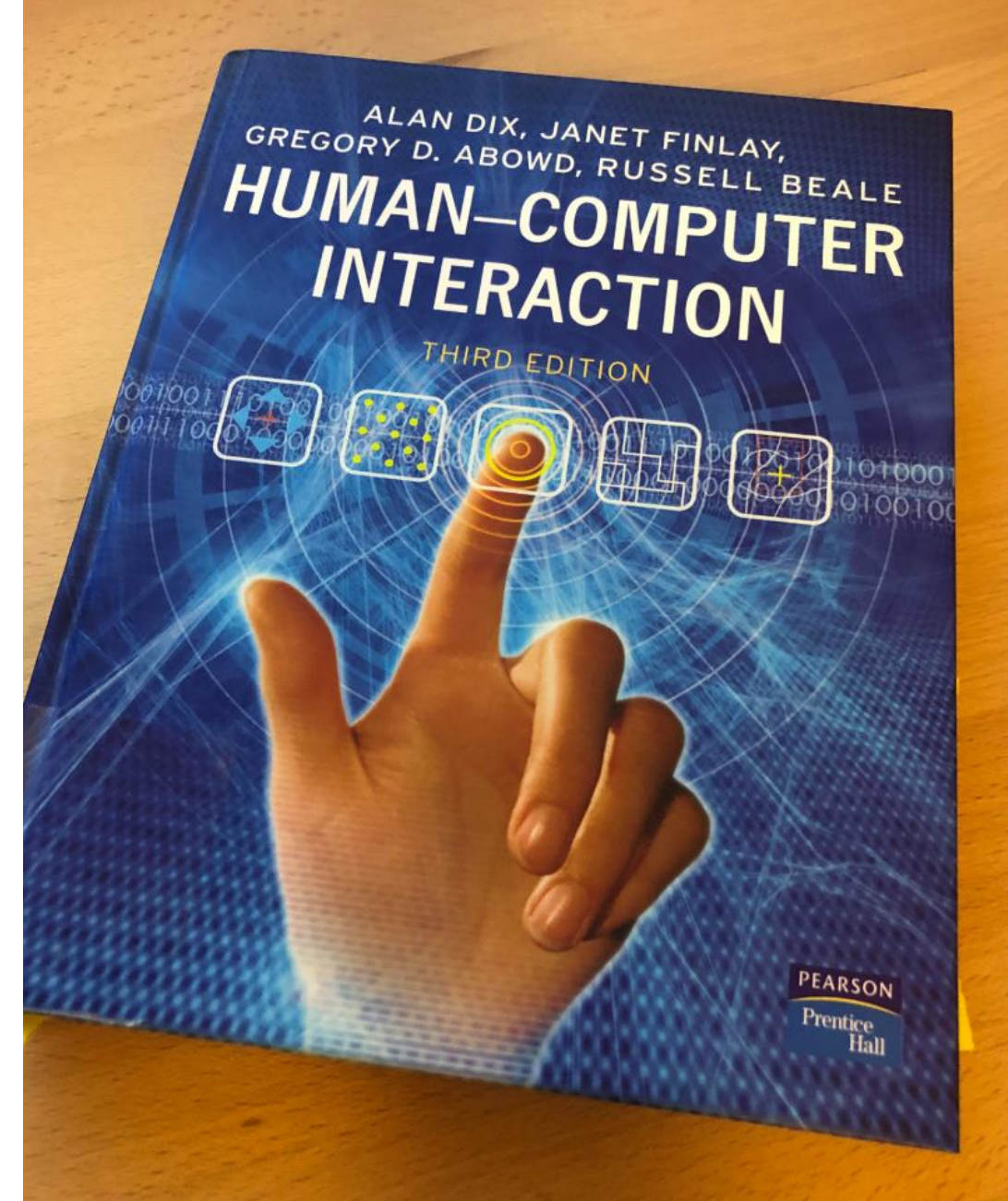
# Did you understand this block?

Can you answer these questions?

- What are the 3 principles to support usability according to Alan Dix et al.?
- Give an example for robustness?
- How did our expectations for learnability change over the last 20 years?
- How does recoverability contribute to robustness?
- What two forms of customizability can be discriminated? Give an example for each.
- How does predictability improve learnability? How is predictability achieved?

# Reference

- Dix, A. J., Finlay, J., Abowd, G. D., & Beale, R. (2003). Human-computer interaction. Pearson Education, pp.260ff  
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